

# Handicapping 101

What Every Club Sailor Should Know in 101 Slides

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## 1 Handicapping as Prediction

- Introduction to Handicapping
- Corrected Time
- Squeezing Down the Formulas

## 2 Using Handicaps on the Water

- Sample Boats
- Introduction to Time Allowances
- Reckoning Time Allowances from Our Boat's Point of View

# Modern Handicapping

Based on Absolute Units rather than a Standard Boat

- modern measurement rules are based on predicted boat speed across a wide range of wind speeds and many points of sail.
  - the handicapping authority uses a velocity prediction programme (a vpp).
- performance rules are based on relative performance data.
  - yet we can still infer absolute performance in the main.
  - and they are more readily understood in terms of absolute performance.
- we apply handicaps to boats based on a prediction of their relative performance.
  - it is trivial to deduce relative performance from absolute performance.

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# Old-Fashioned Measurement Rules

- old measurement rules were based on empirical formulas.
- many inputs might have been included in these empirical formulas but there is no comparison to a modern vpp.
- old-style empirical formulas are type-forming.
  - they are no longer used in handicap racing.
  - only a shadow of these formulas exist today in development classes.
- the empirical formula would yield a rated length.
  - from this rated length another empirical formula would yield a time-on-distance (here) or a time-on-time (elsewhere) handicap.
    - and this handicap was always relative to a standard boat

# Old-Fashioned Performance Rules

- performance rules directly issue a handicap.
  - the handicap is time-on-distance (here) or time-on-time (here & elsewhere).
  - the handicap is always relative to a standard boat.
- older performance rules may be still relevant today.
  - they have an extensive collection of performance data.
- handicapping authorities don't collect performance data for a single boat.
- the handicapping authority can make predictions for of a boat:
  - which already belongs to a class association;
  - which conforms to a manufacturer's standard;
  - which differs from an already handicapped boat in certain predictable ways.

# Modern Handicapping with Multi-Factor Handicaps

- multi-factor or vpp-based handicaps can be specialized to the course configuration and the conditions on the day:
  - offshore, around-the-buoys or windward/leeward;
    - or % beating : % reaching : % running;
  - with or against the current;
  - using predicted wind speeds, or...
- multi-factor handicaps may adapt to wind strength automatically based on elapsed times.



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# Modern Handicapping with Single-Factor Handicaps

- in club racing we use single-factor handicaps that limit the precision of our predictions.
- single-factor handicaps aggregate performance data.
  - courses need to conform to the % beating : % reaching : % running as specified by the rule or results will be skewed.
  - currents can severely distort the handicapping.
  - on a particular day wind speeds can be strongly predictive of results.
- single-factor handicaps cannot adapt to wind strength at all; at best handicapping will average out over a series of races.
  - a poorly localized handicap may not even average out over a series of races.

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- Introduction to Handicapping
- **Corrected Time**
- Squeezing Down the Formulas

## 2 Using Handicaps on the Water

- Sample Boats
- Introduction to Time Allowances
- Reckoning Time Allowances from Our Boat's Point of View

# The Rule Book's Corrected Time

Although the Rule Book Itself Doesn't Actually Define Corrected Time (cf. RRS A3 and A7)

- the rule book requires us to rank finishers by corrected time.
- corrected time is defined in terms of a “scratch” type of boat.
  - the scratch boat is representative of the fleet.
  - the choice of scratch boat doesn't actually alter how boats place.
- corrected time is also a prediction.
  - it is a prediction of how a boat, given its elapsed time, would finish were it of the scratch type.
  - provides a pretense of one-design racing of scratch type boats.

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# Caveats with regard to Corrected Time

The Scratch Boat *need not be* Representative of the Fleet!

- the PHRF zero-rated boat was originally chosen to be the fastest possible boat so all PHRF handicaps would be positive.
- calculating PHRF time-on-distance corrected times with the zero-rated boat as scratch leads to an extremely simple formula.
  - this is the how PHRF was conceived.
  - for most club boats elapsed times and corrected times are very dissimilar.
  - comparing such corrected times between divisions is easy but largely pointless.

# Caveats with regard to Corrected Time

The Choice of Scratch Boat *may alter* How Boats Place

- but only if a rounding rule is specified by the class rules.
  - a rounding rule, whatever the scratch boat, can never flip how boats place.
  - without rounding, ties on corrected time are uncommon.
    - untied boats without rounding can become tied with rounding.
    - whether boats round to a tie depends on the choice of scratch boat.
- rounding is a hangover from the days when corrected times were calculated by hand; rounding is old-fashioned.
  - a strict ordering with rounding implies the same strict ordering without.
  - rounding complicates the reckoning of time allowances by competitors on the water.

# Some Common Corrected Time Formulas

PHRF e.g.  $\check{t} = t - h \times d$

- time-on-distance
- handicap  $h$  is in units of seconds per mile from about 0 to 250.

IRC e.g.  $\check{t} = t \times b$

- time-on-time
- handicap  $b$  is a unitless multiplier near 1.000.
- these formulas do not reflect best practices.
  - the choice of scratch boat is baked into the handicap.
  - the handicap has no obvious physical interpretation.

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# Time-on-Distance

- corrected time is explicitly dependent on course length.
  - an incorrect course length will invalidate the results.
    - shortened courses must be accounted for.
- corrected time is calculated with respect to elapsed time so is dependent on start times.
  - however an incorrect start time will not invalidate the results.
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# Time-on-Time

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- an incorrect start time will invalidate results.
  - elapsed times must be taken from a boat's starting signal or its calculated corrected time is meaningless.
    - different divisions will have different start times.
    - postponements, general recalls or other occurrences can delay start times.
    - time elapsed from the first warning signal is only meaningful if the starting signal is recorded on the same clock as finish times — elapsed time will be the difference of the two — time-of-day works just as well for this purpose.
  - it is impossible to reformulate corrected time in terms of time-of-day.

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# Time-on-Distance and Time-on-Time

- time-on-distance and time-on-time are the only sensible alternatives for single-factor handicapping.
- either implicitly models how the relative performance between any two boats should vary as the wind varies.
  - A single-factor handicap lacks the information needed to specify how a particular boat actually responds to changes in the wind.
- time-on-time is generally more predictive than time-on-distance.

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# Multi-Factor Specialized to Single-Factor Handicaps

- depending on the wind, many multi-factor handicaps specialize down to a single-factor time-on-distance or time-on-time handicap before racing.
- once specialized to a wind range there is little difference in predicted performance between time-on-distance and time-on-time handicapping unless the wind dramatically departs from the expected wind.

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# Time-on-Time-and-Distance and Performance Curves

- time-on-time-and-distance generalizes both time-on-distance and time-on-time.
  - it uses two factors while racing.
    - and it is still amenable to mental arithmetic on the water.
- a performance curve generalizes even more.
  - six factors is the most common parametrization.
    - it is not suitable for mental arithmetic.
    - it requires preprinted time allowance tables or on-board computerization.
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# Excursus on Pace versus Speed

- course-average pace  $p$  is elapsed time  $t$  divided by course length  $d$ .
- pace in general is the time in seconds needed to cover one mile.
  - pace takes units of seconds per mile.
- speed is how many miles covered in 3600 seconds.
  - speed takes units of miles per hour (knots).
- pace and speed are reciprocal to each other.
  - multiplying the pace by the corresponding speed yields unity,
    - where unity is 3600 seconds per hour.
- pace and speed are different representations of the same physical quantity.

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# Single-Factor Corrected Time|Pace Formulas in General

## Time-on-Distance with Handicaps Denoted $h$ or $c$

$$\check{t} = t + [\star h - h] \times d$$

$$\check{t} = t + [c - \star c] \times d$$

## Time-on-Time with Positive Handicaps Denoted $k$ or $b$

$$\check{t} = t \times [\star k \div k]$$

$$\check{t} = t \times [b \div \star b]$$

- the  $h$  and  $k$  handicaps increase as boats get slower.
- the  $b$  and  $c$  handicaps increase as boats get faster.

*if we divide both sides of our equations by course length...*

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- the  $b$  and  $c$  handicaps increase as boats get faster.

*...having dividing both sides of our equations by course length...*

# Single-Factor Corrected Time|Pace Formulas in General

## Time-on-Distance with Handicaps Denoted $h$ or $c$

$$\check{p} = (p - h) + \star h$$

$$\check{p} = (p + c) - \star c$$

## Time-on-Time with Positive Handicaps Denoted $k$ or $b$

$$\check{p} = (p \div k) \times \star k$$

$$\check{p} = (p \times b) \div \star b$$

- the  $h$  and  $k$  handicaps increase as boats get slower.
- the  $b$  and  $c$  handicaps increase as boats get faster.

*...and having expressed them in a canonical form*

# Comparison on Corrected Time via Canonical Pace Formulas

Via Formula  $\check{p} = (p - h) + \star h$

$$\check{t}^A \leq \check{t}^B$$

$$\check{p}^A \leq \check{p}^B$$

$$(p^A - h^A) + \star h \leq (p^B - h^B) + \star h$$

$$p^A - h^A \leq p^B - h^B$$

Via Formula  $\check{p} = (p \div k) \times \star k$

$$\check{t}^A \leq \check{t}^B$$

$$\check{p}^A \leq \check{p}^B$$

$$(p^A \div k^A) \times \star k \leq (p^B \div k^B) \times \star k$$

$$p^A \div k^A \leq p^B \div k^B$$

- comparison on corrected pace is the same as comparison on corrected time,
- and independent of the scratch handicap.

# Single-Factor Corrected Pace Without Loss of Generality

## Time-on-Distance

$$\check{p} = (p - h) + \star h = (p + c) - \star c$$

## Time-on-Time

$$\check{p} = (p \div k) \times \star k = (p \times b) \div \star b$$

- the forms in  $c$  and  $b$  are redundant.
  - the corresponding  $h$  and  $c$  add to zero.
  - the corresponding  $k$  and  $b$  multiply to one.

# Single-Factor Corrected Pace Without Loss of Generality

## Time-on-Distance

$$\check{p} = (p - h) + \star h$$

## Time-on-Time

$$\check{p} = (p \div k) \times \star k$$

- can rewrite the remaining forms in  $h$  and  $k$  to reveal even more redundancy.
  - algebraically manipulate the right-hand side of these equations.
  - to reveal an arbitrary choice of handicapping *gauge*.

# Single-Factor Corrected Pace Without Loss of Generality

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$$\check{p} = (p - h) + \star h = p - [h - \star h]$$

## Time-on-Time

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$$\check{p} = (p \div k) \times \star k = p \div [(k \div \star k) \div (\star k \div \star k)]$$

- by *telescoping* the differences/ratios of handicaps.
  - e.g. handicaps relative to a standard boat with  $\star h$  or  $\star k$  in this gauge.
  - shifted  $h \rightarrow h_\star$  or scaled  $k \rightarrow k_\star$  are transformed but equivalent handicaps.

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# Too Many Handicaps

## Too Much Generality?

- there are too many ways to express the same handicapping relationship.
- there are different handicaps for time-on-distance and time-on-time.

## One Preferred Handicap — the General Purpose Handicap...

- is a single factor in units of pace for either time-on-distance or time-on-time;
- has an actual physical interpretation as aggregated average pace...
  - as an absolute measure of performance rather than relative to another boat,
  - and has an equivalent aggregated average speed in knots.

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# Applying a General Purpose Handicap

## Time-on-Distance with a General Purpose Handicap $g$

$$\check{p} = (p - g) + \star g$$

$$\check{t} = t + [\star g - g] \times d$$

## Time-on-Time with the Same General Purpose Handicap

$$\check{p} = (p \div g) \times \star g$$

$$\check{t} = t \times [\star g \div g]$$

- the general purpose handicap  $g$  supplants the  $h$  and  $k$  in the formulas.
- it works for any time-on-distance or time-on-time handicapping.
- it gives context to handicaps which are otherwise opaque.



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$$\check{p} = (p \div g) \times \star g$$

$$\check{t} = t \times [\star g \div g]$$

- it gives context to handicaps which are otherwise opaque...
  - but precomputing the bracketed term obscures that context.
    - PHRF and IRC handicaps can be considered such a precomputed expression.
    - determining the GPH for a single boat recovers the GPH for all boats.

# Applying a General Purpose Handicap

## Time-on-Distance with a General Purpose Handicap $g$

$$\check{p} = (p - g) + \star g$$

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# A PHRF Handicap for Time-on-Time

- a PHRF rating  $h$  is the difference in GPH from that of the zero-rated boat:
  - $h = g - \text{zero-rated } g$ .
- to recover the GPH for time-on-time handicapping:
  - $\text{zero-rated } g$  is about  $600 \text{ s/mi} \pm 100 \text{ s/mi}$  depending on local conditions,
    - e.g.  $g = h + 600 \text{ s/mi}$ ;
  - $\Delta g = \Delta h$ .
- a PHRF station pursuing time-on-time handicapping will publish:
  - sensibly its value for  $\text{zero-rated } g$ ;
  - misguidedly a transformation  $h \rightarrow b$  hiding  $\text{zero-rated } g$  in the formula.

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# A Transformation $h \rightarrow b$ Hiding $g$ in the Formula

For Lake St. Clair

$g_{\text{standard}}$  and  $g_{\text{zero-rated}}$  are fixed parameters

$h_{\text{our}}$  is our PHRF rating

$g_{\text{our}}$  is the corresponding GPH

$b_{\text{our}}$  is the corresponding *time correction factor*

$$b_{\text{our}} = \frac{\overbrace{650 \text{ s/mi}}^{\text{standard } g}}{\underbrace{557 \text{ s/mi} + h_{\text{our}}}_{\substack{\text{zero-rated } g \\ \text{our } g}}}$$

- boats aren't as fast on average as the low  $g_{\text{zero-rated}}$  would suggest.
  - for our purposes, this inconsistency is irrelevant.
  - we must accept the handicapping as is.

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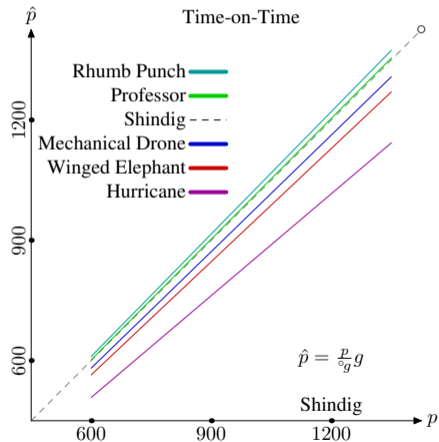
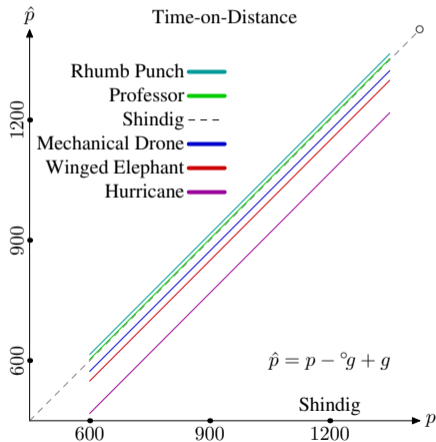
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# General Purpose Handicaps for Sample Boats

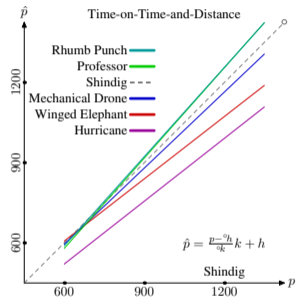
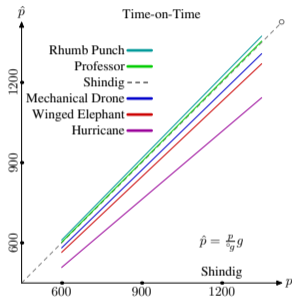
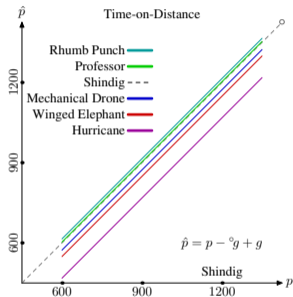
Boat	$g$	$\Delta g$	Make
Hurricane	729(12:09)	-132(2:12)	Melges 24
Winged Elephant	810(13:30)	-51	Wavelength 24
Mechanical Drone	834(13:54)	-27	C&C 30
Shindig	861(14:21)	o	Viking 28
the Professor	864(14:24)	+3	J 22
Rhumb Punch	876(14:36)	+15	Mirage 33

these handicaps are rounded to the closest multiple of  $3^s/\text{mi}$ .

# Graphing Time-on-Distance side-by-side with Time-on-Time



# An Excursus on Graphing Time-on-Time-and-Distance



Response to the wind strength:

- for single-factor handicaps is embedded in the model;
- for time-on-time-and-distance is contained in the handicap.

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# Time and Pace Allowances

Defined from Our Perspective

## From Our Perspective for a Given Competitor

- a time allowance  $\Delta t$  is the time ahead or behind us the competitor must finish in order to tie with us after handicapping is applied.
- a pace allowance  $\Delta p$  is a difference in pace necessary for a tie.
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- the corresponding time allowance is fixed throughout the race.

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- the ratio of  $\Delta p$  to  $\Delta g$  is equal in proportion to the ratio of  $p$  to  $g$ .
- we can turn a pace allowance into a time allowance by dropping per-mile from all the units in the proportionality,
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# Our Boat *Shindig* with Handicap $g = 861 \text{ s/mi} \dots$

## In General

- we have  $g = 861 \text{ s/mi} = 14 \text{ min } 21 \text{ s/mi}$ .
- on average we should take:
  - $861 \text{ s} = 14 \text{ min } 21 \text{ s}$  to complete a mile of the course;
  - $287 \text{ s} = 4 \text{ min } 47 \text{ s}$  to complete a third of a mile;

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## Our Boat *Shindig* on a $4\frac{1}{3}$ mi Course...

$$\begin{array}{r}
 57 \text{ min } 24 \text{ s } \propto 4 \text{ mi} \\
 + \quad 4 \text{ min } 47 \text{ s } \propto \frac{1}{3} \text{ mi} \\
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 62 \text{ min } 11 \text{ s } \propto 4\frac{1}{3} \text{ mi}
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 \left\{
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 t \propto d \text{ (on average)} \\
 \hline
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 1 \text{ h } 11 \text{ min } 45 \text{ s } \propto 5 \text{ mi } \quad (5\times) \\
 \vdots \\
 \hline
 4 \text{ min } 47 \text{ s } \propto \frac{1}{3} \text{ mi } \quad (1/3\times) \\
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And an Elapsed Time of 1 h 2 min 11 s

## On Average

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For Our Competitor *Rhumb Punch* where  $\Delta g = 15 \text{ s/mi}$

## For Time-on-Distance

- for each mile of course the time allowance  $\Delta t$  increases by 15 s;
- for each additional  $\frac{1}{3} \text{ mi}$  the time allowance  $\Delta t$  increases by 5 s.

## For Time-on-Time

- the ratio of  $\Delta t$  to 15 s is equal in proportion to the ratio  $t$  to 14 min 21 s.
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# For Our Competitor *Rhumb Punch* using Time-on-Distance

A  $4\frac{1}{3}$  mi course yields a time allowance of 65 s.

$$\begin{array}{r} 60 \text{ s} \propto 4 \text{ mi} \\ + \quad 5 \text{ s} \propto \frac{1}{3} \text{ mi} \\ \hline 65 \text{ s} \propto 4\frac{1}{3} \text{ mi} \end{array} \left\{ \begin{array}{l} \Delta t \propto d \text{ (time-on-distance)} \\ \hline 15 \text{ s} \propto 1 \text{ mi} \\ \hline 30 \text{ s} \propto 2 \text{ mi} \quad (2\times) \\ 45 \text{ s} \propto 3 \text{ mi} \quad (3\times) \\ \hline 60 \text{ s} \propto 4 \text{ mi} \quad (4\times) \\ 5 \text{ s} \propto \frac{1}{3} \text{ mi} \quad (\frac{1}{3}\times) \end{array} \right.$$



## For Our Competitor *Rhumb Punch* using Time-on-Time

An elapsed time of 1 h 2 min 11 s yields a time allowance of 65 s.

$$\begin{array}{r}
 60 \text{ s} \propto 57 \text{ min } 24 \text{ s} \\
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## For Our Competitor *Rhumb Punch*

On average  $\Delta t$ ,  $t$  and  $d$  vary in lockstep.

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For an actual race which departs from the average, time allowances are dependent on either time or distance depending on the style of handicapping...

## For Our Competitor *Rhumb Punch* using Time-on-Time

Were we to take exactly one hour to finish a race:

- the time allowance of 60 s for 57 min 24 s would fall short;
- the time allowance of 65 s for 62 min 11 s would overshoot;
- we need about two and half minutes worth of additional time allowance to round out the 57 min 24 s worth.
  - every minute of elapsed time increases the time allowance by about 1 s.
  - $2.5 \text{ s} \propto 2.5 \text{ min}$ , approximately.
  - this would give a total time allowance of about 62.5 s.
- to be certain of the win, we must beat Rhumb Punch by 1 min 3 s.

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# A Time Allowance Table

Shindig	14:21
the Professor	+3
Rhumb Punch	+15
Mechanical Drone	-27
Winged Elephant	-51
Hurricane	-2:12

	Shindig	Pr +	RP +	MD -	WE -	Hu -
1/3	4:47	1	5	9	17	44
2/3	9:34	2	10	18	34	1:28
1	14:21	3	15	27	51	2:12
2	28:42	6	30	54	1:42	4:24
3	43:03	9	45	1:21	2:33	6:36
4	57:24	12	1:00	1:48	3:24	8:48
5	1:11:45	15	1:15	2:15	4:15	11:00
6	1:26:06	18	1:30	2:42	5:06	13:12
7	1:40:27	21	1:45	3:09	5:57	15:24
8	1:54:48	24	2:00	3:36	6:48	17:36
9	2:09:09	27	2:15	4:03	7:39	19:48

# An Expanded Time Allowance Table

Shindig	Pr +	RP +	MD -	WE -	Hu -								
1/3	4:47	1	5	9	17	44	5	1:11:45	15	1:15	2:15	4:15	11:00
2/3	9:34	2	10	18	34	1:28	5 <sup>1/3</sup>	1:16:32	16	1:20	2:24	4:32	11:44
1	14:21	3	15	27	51	2:12	5 <sup>2/3</sup>	1:21:19	17	1:25	2:33	4:49	12:28
1 <sup>1/3</sup>	19:08	4	20	36	1:08	2:56	6	1:26:06	18	1:30	2:42	5:06	13:12
1 <sup>2/3</sup>	23:55	5	25	45	1:25	3:40	6 <sup>1/3</sup>	1:30:53	19	1:35	2:51	5:23	13:56
2	28:42	6	30	54	1:42	4:24	6 <sup>2/3</sup>	1:35:40	20	1:40	3:00	5:40	14:40
2 <sup>1/3</sup>	33:29	7	35	1:03	1:59	5:08	7	1:40:27	21	1:45	3:09	5:57	15:24
2 <sup>2/3</sup>	38:16	8	40	1:12	2:16	5:52	7 <sup>1/3</sup>	1:45:14	22	1:50	3:18	6:14	16:08
3	43:03	9	45	1:21	2:33	6:36	7 <sup>2/3</sup>	1:50:01	23	1:55	3:27	6:31	16:52
3 <sup>1/3</sup>	47:50	10	50	1:30	2:50	7:20	8	1:54:48	24	2:00	3:36	6:48	17:36
3 <sup>2/3</sup>	52:37	11	55	1:39	3:07	8:04	8 <sup>1/3</sup>	1:59:35	25	2:05	3:45	7:05	18:20
4	57:24	12	1:00	1:48	3:24	8:48	8 <sup>2/3</sup>	2:04:22	26	2:10	3:54	7:22	19:04
4 <sup>1/3</sup>	1:02:11	13	1:05	1:57	3:41	9:32	9	2:09:09	27	2:15	4:03	7:39	19:48
4 <sup>2/3</sup>	1:06:58	14	1:10	2:06	3:58	10:16	9 <sup>1/3</sup>	2:13:56	28	2:20	4:12	7:56	20:32
							9 <sup>2/3</sup>	2:18:43	29	2:25	4:21	8:13	21:16

# Refining Approximations for Time Allowances

Shindig	$\Delta t \propto t$ Approximations			
the Professor	$1\text{ s} \propto 4\text{ min } 47\text{ s}$	$1\text{ s} \propto 5\text{ min}$		
Rhumb Punch	$5\text{ s} \propto 4\text{ min } 47\text{ s}$	$1\text{ s} \propto 1\text{ min}$ (via $5\text{ s} \propto 5\text{ min}$ )		
Mechanical Drone	$9\text{ s} \propto 4\text{ min } 47\text{ s}$	$1\text{ s} \propto 32\text{ s}$ (via $3\text{ s} \propto 1\text{ min } 36\text{ s}$ )		
Winged Elephant	$17\text{ s} \propto 4\text{ min } 47\text{ s}$	$4\text{ s} \propto 1\text{ min } 7\text{ s}$	$2\text{ s} \propto 33.5\text{ s}$	$1\text{ s} \propto 17\text{ s}$
Hurricane	$44\text{ s} \propto 4\text{ min } 47\text{ s}$	$11\text{ s} \propto 1\text{ min } 12\text{ s}$	$8\text{ s} \propto 52.5\text{ s}$	$1\text{ s} \propto 7\text{ s}$

- 1 Handicapping as Prediction
  - Introduction to Handicapping
  - Corrected Time
  - Squeezing Down the Formulas
- 2 Using Handicaps on the Water
  - Sample Boats
  - Introduction to Time Allowances
  - Reckoning Time Allowances from Our Boat's Point of View

## Wrap Up

- modern handicapping can be highly predictive of performance.
  - in club racing we don't take advantage of the precision offered.
- the GPH is the one true single-factor handicap.
  - even when we don't realize we are using it.
- time allowances are easily reckoned for time-on-time.